Analytic Combinatorics for the masses

Robert Sedgewick Princeton University

This talk is dedicated to the memory of Philippe Flajolet



Philippe Flajolet 1948-2011

Starting point

1975: What are the algorithms that *everyone* should know?

"Everyone" means "everyone using a computer"

- scientists
- engineers
- mathematicians
- software/hardware designers
- cryptananalysts
- COBOL programmers
- . . .



Context

- <1 computer per university, on average
- <10 CS departments worldwide

1981: First edition of Algorithms is published



Disseminating knowledge: RS context



Previous Press H for shortcuts Mergesort (18:03) - Speed: 1.75x + Next



Analytic Combinatorics for the masses

- Frequently asked questions
- Disruptive changes
- A way forward
- Analytic combinatorics
- Questions answered



Frequently asked questions

- Q. What does MOOC mean?
- A. Massive Open Online Course.
 - Free
 - Designed for large numbers of "students"
- Q. What is the business model?
- Q. How are our students getting their money's worth if it's free?
- Q. Can we afford to participate?
- Q. Will this detract from our "brand"?
- Q. Can I get credit for this course?



• Aggregation, remixing, and passing forward content

Q. How are we going to disseminate knowledge in the future?

Q. Are universities going to take leadership in helping to decide this question?

Q. If we *can* disseminate knowledge for free, isn't it our responsibility to do so?

Q. What is the purpose of a university?





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Seismic changes are afoot



Universities?

For a millennium, universities have been considered the main societal hub for knowledge and learning. And for a millennium, the basic structures of how universities produce and disseminate knowledge and evaluate students have survived intact...Today, though, the business of higher education seems to some as susceptible to tech disruption as other information-centric industries

The Future of Higher Education - Pew Internet & American Life Project, 2012

Business of higher education ?? A road to ruin.

FUTURE READING Digitization and its discontents. by Anthony Grafton *The New Yorker* November 5, 2007



...Sit in your local coffee shop, and your laptop can tell you a lot. If you want deeper, more local knowledge, you will have to take the narrower path that leads between the lions and up the stairs. There—as in great libraries around the world—you'll use all the new sources, the library's and those it buys from others, all the time. You'll check musicians' names and dates at Grove Music Online, read Marlowe's "Doctor Faustus" on Early English Books Online, or decipher Civil War documents on Valley of the Shadow. But these streams of data, rich as they are, will illuminate, rather than eliminate, books and prints and manuscripts that only the library can put in front of you. **The narrow path still leads, as it must, to crowded public rooms where the sunlight gleams on varnished tables, and knowledge is embodied in millions of dusty, crumbling, smelly, irreplaceable documents and books**.

RS: Think about the future

The New Yorker Letter to the editor Robert Sedgewick December 10, 2007



While Grafton's reservations about putting knowledge online are well taken, I would also point out that there is quite a bit going on now in the academic world that doesn't have much to do with old books. Indeed, as the author of many books, I wonder whether perhaps the book is not quite sacred as a means of disseminating knowledge. What is the most effective way to produce and disseminate knowledge with today's technology? How can we best structure what we know and learn so that students, researchers, and scholars of the future can best understand the work of today's researchers and scholars? I think that questions like these are more important and more difficult to address than whether we can put the contents of libraries on the Web. When is the last time you visited a library to find a paper?

Did you print the papers to read the last time you refereed a conference?

e O New Tab	
← → C (S algo.inria.fr/flajolet/Publications/FIPeSo11.pdf	• Color?
In ACM-SIAM Symp. on Discrete Algorithms (SODA), 2011	• Links to references?
	Links to detailed proofs?
On Buffon Machines and Numbers	• Simulations?
philippe.flajolet@inria.fr, maryse.pelletier@lip6.fr, michele.soria@lip6.fr	↑
Abstract The well-know needle experiment of Buffon can be regarded as an analog (i.e., continuous) device that stochastically "computes" the number $2/\pi \doteq 0.63661$, which is the exper- iment's probability of success. Generalizing the experiment	why not?
and simplifying the computational framework, we consider probability distributions, which can be produced <i>perfectly</i> , from a <i>discrete source</i> of unbiased coin flips. We describe and analyse a few simple <i>Buffon machines</i> that generate ge- ometric, Poisson, and logarithmic-series distributions. We provide human-accessible Buffon machines, which require a dozen coin flips or less, on average, and produce experiments whose probabilities of success are expressible in terms of numbers such as π , exp(-1), log 2, $\sqrt{3}$, $\cos(\frac{1}{4})$, $\zeta(5)$. Gener- ally, we develop a collection of constructions based on <i>sim- ple probabilistic mechanisms</i> that enable one to design Buf-	<i>"I could read it on my iPad" if I had an iPad" D. E. Knuth</i> (2011)

why?

Question: If it will not be read on paper, why write it as if it will?

Prediction: Someone will soon invent the future (should be easy)

Future of libraries?

1980s

- Students spend significant time in the library
- Faculty members depend on the library for research

2010s

- Students spend significant time online and have *no need* for the library
- Few faculty members in the sciences use the library *at all* for research

2020s?

- A few book museums (for Grafton)
- Digital library infrastructure (for everyone else)

How will we disseminate knowledge in the future? Will universities play a role?



Disseminating knowledge II: Textbooks

We are on a road to ruin

- Prices continue to escalate.
- Students now *rent*, not own books.
- Planned obsolescence? Walled gardens?

\$89.4 million Rise in dollar sales of college textbooks, 2004-5

600,000 Decline in number of new college textbooks sold, 2004-5





Princeton U-store 1950s



 Princeton
USSTOR
2010s
 The OFFICIAL
PRINCETON
UNIVERSITY STORE
SINCE 1905
 FREE UPS GROUND SHIPPING FOR MEMBERS EVERYDAY
 Search for...
 Sea

Is there room for a good textbook? Will free web resources prevail?





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A way forward

embraces technology to integrate three abstractions that are *here to stay*:

- 1. A textbook for use by students to *learn and study* the details of a subject.
- 2. A course that encourages a community of scholars to learn together.
- 3. Web content for use by students to *explore and interact with* the material.



Examples (stay tuned). CS courses at Princeton implemented by RS and Kevin Wayne

The "course" abstraction

has been an essential part of education for a millenium and is here to stay

What is a course?

- *Lectures* to introduce to inspire.
- Assignments
- Exams
- *Precepts* to work in small groups.



U. of Bologna, founded in 1088

Purpose of a course

- Enable a "community of scholars" to teach and learn a subject.
- Serve as a building block in a *curriculum*.



A university lecture in the 1350s

The "textbook" abstraction

has been an essential component in education for centuries and is here to stay

Well-understood since the Greeks.





Enabled for the masses by Gutenberg.

Relevant for this talk:



Purpose of a textbook

- Articulate what students can reasonably learn about a subject in a semester.
- Provide a reference point for future studies related to the subject.

The "web content" abstraction

is emerging as an essential component in education and is *here to stay*

What is web content?

- Full coverage integrated with web search.
- Always up to date (*dynamic*).
- Content types *not available* in print.

Issues

- Basic properties still evolving.
- Free? Who pays?
- Who creates it? Who maintains it?





U.S. POLITICS

NEW YOR BUSINESS DEALBOO

TECHNO SPORTS SCIENCE HEALTE ARTS STYLE OPINIO!

Autos Blogs Books Cartoons Classified

Dining & V



Example 1: Introduction to CS



Example 2: Algorithms, 4th edition

RS: Hey, maybe this could work for "Algorithms"! KW: No problem, but...it might take some time. gor1t 2011 Textbook • Code and data repository. Enrichment materials Course materials. orithms, 4th Edition by Robert Sedgewick and Kevin Way Web Content ALGORITHMS, 4TH EDITIO Course essential information that "Booksite" every serious programmer needs to know about Algorithm algorithms and data structures Textbook. The textbook Algorithms, 4th Edition by Robert Sedgewick and Kevin Wayne InformIT] surveys the most important algorithms and data structures in use today. The textbook organized into six chapters: 1. Fundam 2. Sorting • Chapter 1: Fundamentals introduces a scientific and engineering basis for comparing algorithms and making predictions. It also includes our programming model. 3. Searchine · Chapter 2: Sorting considers several classic sorting algorithms, including insertion sort, mergesort, and 4. Graphs 2010-2011 (4th iteration) quicksort. It also includes a binary heap implementation of a priority queue 5. Strings • Chapter 3: Searching describes several classic symbol table implementations, including binary search 6. Context trees, red-black trees, and hash tables. . Chapter 4: Graphs surveys the most important graph processing problems, including depth-first search breadth-first search, minimum spanning trees, and shortest paths *Lecture presentations.* · Chapter 5: Strings investigates specialized algorithms for string processing, including radix sorting, ne 🗢 😫 🖸 substring search, tries, regular expressions, and data compression. • Chapter 6: Context highlights connections to systems programming, scientific computing, commercial applications, operations research, and intractability. WEB R Assignments Applications to science, engineering, and industry are a key feature of the text. We motivate each algorithm FAQ that we address by examining its impact on specific applications. Data Booksite. Reading a book and surfing the web are two different activities: This booksite is intended for your use while online (for example, while programming and while browsing the web); the textbook is for your use Code when initially learning new material and when reinforcing your understanding of that material (for example, Errata **Exercises and Exams** when reviewing for an exam). The booksite consists of the following elements: Reference Excerpts. A condensed version of the text narrative, for reference while online **Online Cour** · Java code. The algorithms and clients in this textbook Lecture Slide

• Precepts.

2011

 $\label{eq:constraint} \begin{array}{c} \textbf{Online course.} \ \text{Beginning in August 2012, you can take our free Coursera course [Algorithms, Part I Algorithms, Part II]. \end{array}$

Exercise solutions. Solutions to selected exercises

OS X · Windows · Linux].

Programming

arch booksite

To adopt. Here is a marketing flyer. Here is the preface. If you are considering adoption, you can ask the authors for more information or request an examination copy.

To get started. Here are instructions for setting up our recommended Java programming environment [Mac

Web contact associated with a book

- Web presence.
- Landing and takeoff for search.
- Code, test data, animations.
- Course materials.
- A living document.
- For use while computing, exploring.



Lecture presentation materials

are evolving to new standard of excellence



Overhead projection



"Powerpoints"

Chalktalk



State of the art presentations (stay tuned)



2-3 tree: performance

Perfect balance. Every path from root to null link has same length.



Tree height.

- Worst case: lg N. [all 2-nodes]
- Best case: log₃ N ≈ .631 lg N. [all 3-nodes]
- Between 12 and 20 for a million nodes.
- · Between 18 and 30 for a billion nodes.

Guaranteed logarithmic performance for search and insert.

Elements

- Diagrams of data structures.
- Code.
- Animations.
- Summary Info.
- "Story".

Course materials

are dramatically improved via web dissemination

Detailed assignments

Online discussion

Electronic submission and assessment

Schedule of events

Introduction to CS enrollments

- *Double* the height of the "bubble"
- 60% of all Princeton students.





"Algorithms" enrollments

- Three times the height of the "bubble"
- 35% of all Princeton students.

Q. (2011) When will enrollments start to decline?

2013: Time to declare victory? Not yet!

Introduction to CS enrollments

- *Triple* the height of the "bubble"
- 60% of all Princeton students.





"Algorithms" enrollments

- *Four times* the height of the "bubble".
- *Doubled* in the last two years.
- 35% of all Princeton students.

Q. (2011) When will enrollments start to decline?

A. (2013) Sometime after they stop accelerating !

Primary advantage of booksite model

is scalability allowing reach to at least an order of magnitude more students



Handles huge numbers of students worldwide. Instructors use book and booksite as basis for teaching. Individuals are directly accessing book and booksite for self-study.

Next challenge (2011)

RS. Algorithms for the masses (ANALCO, San Francisco 2011)



Confession: No idea how we would get there...

2012: MOOCs go mainstream



With apologies to our actual administrators

Q. Are you interested in teaching online?

RS. No. (Too much work to do it properly.)

Q. Trustees want it, so we're doing it anyway. Are you in? RS+KW. An offer we cannot refuse...



Andrew Ng and Daphne Koller

coursera

An online platform for the "course" abstraction

"Algorithms, Part I" (Fall 2012)



Immediate realization: Our model is *perfectly suited* to go online.

Details are another story...

Online teaching

extends our reach to at least another order of magnitude more students



Handles huge numbers of students worldwide. Instructors use book, *course*, and booksite as basis for teaching. Individuals are directly accessing all three for self-study.



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Analytic combinatorics

RS: Hey, maybe this could work for "Analytic Combinatorics" !

Prevailing wisdom: No way—*interactive chalktalk* is time-honored and needed for math.



RS: Seriously?

Mathematical derivations





Drawings of combinatorial objects



Example: An Analytic Combinatorics Presentation



"An Introduction to Analytic Combinatorics" Lectures

Part I: Analysis of Algorithms

- 1. Introduction
- 2. Recurrences
- 3. Generating Functions
- 4. Asymptotic Analysis
- 5. Anaytic combinatorics
- 6. Trees
- 7. Permutations
- 8. Strings and Tries
- 9. Words and Mappings



~500 slides

Part II: Analytic Combinatorics (in development)

- 1. Ordinary GFs
- 2. Exponential GFs
- 3. Bivariate GFs
- 4. Meromorphic Asymptotics
- 5. MA applications
- 6. Singularity Analysis
- 7. SA Applications
- 8. Saddle Point
- 9. Advanced Topics



~500 slides

2013: Mission accomplished

web content

aofa.cs.princeton.edu

text digests code exercise solutions *lecture slides*

ac.cs.princeton.edu to launch spring 2013 1. Anal Algorith 2. Recu Relatio 3. Gen-Functic 4. Asyr 5. Tree 6. Perm 7. Strim 8. Work FAQ Errata Lecture REATE

aofa.cs	.princeton.edu/home/	16
* Princet	on * reference * rsrch * save * shop * travel * teach * Coursera * Yahool YouTube Wikipedia Mathematica * Saint-Louis en l'Isle AufA	1
^	AN INTRODUCTION TO THE ANALYSIS OF ALGORITHMS	
SIS IMS	People who analyze algorithms have double happiness. First of all they experience the sheer beauty of elegant mathematical patterns that surround elegant computational procedures. Then they receive a practical payoff when their theories make it possible to get other jobs done more quickly and more economically. D. E. Knuth	
TION	This booksite is under development (Spring 2012). No promises.	
HMS	Textbook. The textbook An Introduction to the Analysis of Algorithms by Robert Sedgewick and Philippe Flajolet [Amazon · Inform IT] overviews the primary techniques used in the mathematical analysis of algorithms. The material covered draws from classical mathematical topics, including discrete mathematics, elementary real analysis, and combinatorics, as well as from classical computer science topics, including algorithms and data structures.	
	 Chapter 1: Analysis of Algorithms considers the general motivations for algorithmic analysis and relationships among various approaches to studying performance characteristics of algorithms. 	
	 Chapter 2: Recurrence Relations concentrates on fundamental mathematical properties of various types of recurrence relations which arise frequently whe analyzing an algorithm through a direct mapping from a recursive representation of a program to a recursive representation of a function describing its properties. 	n
	 Chapter 3: Generating Functions introduces a central concept in the average-case analysis of algorithms: generating functions — a necessary and natural link between the algorithms that are our objects of study and analytic methods that are necessary to discover their properties. 	
	 Chapter 4: Asymptotic Approximations examines methods of deriving approximate solutions to problems or of approximating exact solutions, which allow us to develop concise and precise estimates of quantities of interest when analyzing algorithms. 	
	 Chapter 5: Trees investigates properties of many different types of trees, fundamental structures that arise implicitly and explicitly in many practical algorithms. Our goal is to provide access to results from an extensive literature on the combinatorial analysis of trees, while at the same time providing th groundwork for a host of algorithmic applications. 	e
	 Chapter 6: Permutations surveys combinatorial properties of permutations (orderings of the numbers 1 through N) and shows how they relate in a natural way to fundamental and widely-used sorting algorithms. 	
	 Chapter 7: String and Tries studies basic combinatorial properties of strings, sequences of characters or letters drawn from a fixed alphabet, and introduces algorithms that process strings ranging from fundamental methods at the heart of the theory of computation to practical text-processing methods with a host of important applications. 	
thms	 Chapter 8: Words and Maps covers global properties of words (N-letter strings from an N-letter alphabet), which are well-studied in classical combinatoris (because they model sequences of independent Bernoulli trials) and in classical applied algorithmics (because they model input sequences for hashing algorithms). The chapter also covers random maps (N-letter words from an N-letter alphabet) and discusses relationships with trees and permutations. 	
	Booksite. Reading a book and surfing the web are two different activities: This booksite is intended for your use while online (for example, while programming and while browsing the web); the textbook is for your use when initially learning new material and when reinforcing your understanding of that material (for example, when reviewing for an exam). The booksite consists of the following elements:	1
atorics	Excerpts. A condensed version of the text narrative, for reference while online.	

online course

Analytic Combinatorics

February-April 2013

10 lectures on AofA 10 lectures on AC

25,000+ registrants



2013: Mission accomplished!



Q. Can an advanced subject such as *Analytic Combinatorics* be taught effectively online?A. Absolutely! Indeed, advanced subjects may be a *sweet spot* for MOOCs.

How do I take an online course?





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Q. What is a MOOC?

A. An *irresistible opportunity* for individuals and institutions who want to disseminate knowlege to *vastly expand* their reach.

Q. Can an advanced subject such as Analytic Combinatorics be taught effectively online?

A. Absolutely! Indeed, such subjects may be a *sweet spot* for MOOCs.

Q. Why?

A. Anyone interested in disseminating knowledge can put a good course online nowadays.

A. No university can afford to teach *all* advanced subjects.

Questions answered



Q. How much does it cost for me to take a MOOC?A. It *must* be *free*. It not, it's not a MOOC.

With apologies to our actual students

- Q. Can I get credit for taking a MOOC?
- A. For *free*? Not likely.
- A. You can pay to take a test.
- A. You can take a MOOC as part (or all) of a course at a university.

Observation: The vast majority of MOOC students don't care!

Q. If you're giving away content, what am I getting for the money at University X?

A. We make sure that you learn as much as you are able.

A. We certify that you did so.

Questions answered



Q. Can we save money by moving our teaching online?

A. NO.

A. You can *improve the quality of your teaching* and *extend your reach*.

Q. How?

A. Make teachers first-class citizens.

A. Remove disincentives for researchers who choose to teach.

A. Provide support and real incentives for teaching and content creation.

Questions answered



Q. How will this impact our current students?

A. Many online courses will be better than the ones you now offer.

A. Focus will evolve to communities of scholars led by teachers working with presentations possibly developed somewhere else.

A. Everyone's education will have a significant online component.

Q. How much will it cost our institution to embrace online education?

A. Less than you are spending on many things that are less central to your mission.

A. You need to plan to invest in online at the scale you are investing in the library.

A. Can you afford to not embrace online education?





CPI: College Tuition vs. U.S. Home Prices vs. CPI: All Items, 1978 to 2010

A parting thought

(from *John Hennessy* in an interview for an article by Ken Auletta the New Yorker, 2012)



"[Universities,] like newspapers and music companies and much of traditional media a little more than a decade ago are sailing in seemingly placid waters."

"But ... there's a tsunami coming."



Analytic Combinatorics for the masses

Robert Sedgewick Princeton University