# Parallel Explicit Model Checking for Generalized Büchi Automata

E. Renault<sup>1,2,3</sup>, A. Duret-Lutz<sup>1</sup>, F. Kordon<sup>2,3</sup>, D. Poitrenaud<sup>3,4</sup>

<sup>1</sup> LRDE, EPITA, Kremlin-Bicêtre, France
 <sup>2</sup> Sorbonne Universités, UPMC Univ. Paris 06, France
 <sup>3</sup> CNRS UMR 7606, LIP6, F-75005 Paris, France

<sup>4</sup> Université Paris Descartes, Paris, France

**Abstract.** We present new parallel emptiness checks for LTL model checking. Unlike existing parallel emptiness checks, these are based on an SCC enumeration, support generalized Büchi acceptance, and require no synchronization points nor repair procedures. A salient feature of our algorithms is the use of a global union-find data structure in which multiple threads share structural information about the automaton being checked. Our prototype implementation has encouraging performances: the new emptiness checks have better speedup than existing algorithms in half of our experiments.

# 1 Introduction

The automata-theoretic approach to explicit LTL model checking explores the product between two  $\omega$ -automata: one automaton that represents the system, and the other that represents the negation of the property to check on this system. This product corresponds to the intersection between the executions of the system and the behaviors disallowed by the property. The property is verified if this product has no accepting executions (i.e., its language is empty).

Usually, the property is represented by a Büchi automaton (BA), and the system by a Kripke structure. Here we represent the property with a more concise Transition-based Generalized Büchi Automaton (TGBA), in which the Büchi acceptance condition is generalized to use multiple acceptance conditions. Furthermore, any BA can be represented by a TGBA without changing the transition structure: the TGBA-based emptiness checks we present are therefore compatible with BAs.

A BA (or TGBA) has a non-empty language iff it contains an accepting cycle reachable from the initial state (for model checking, this maps to a counterexample). An emptiness check is an algorithm that searches for such a cycle.

Most sequential explicit emptiness checks are based on a *Depth-First Search* (DFS) exploration of the automaton and can be classified in two families: those based on an enumeration of *Strongly Connected Components* (SCC), and those based on a *Nested Depth First Search* (NDFS) (see [26, 10, 24] for surveys).

Recently, parallel (or distributed) emptiness checks have been proposed [6, 2, 9, 7, 3, 4]: they are mainly based on a *Breadth First Search* (BFS) exploration

which scales better than DFS [23]. Multicore adaptations of these algorithms with lock-free data structure have been discussed, but not evaluated, by Barnat et al. [5].

Recent publications show that NDFS-based algorithms combined with the *swarming* technique [16] scale better in practice [13, 18, 17, 14]. As its name implies, an NDFS algorithm uses two nested DFS: a first DFS explores a BA to search for accepting states, and a second DFS is started (in post order) to find cycles around these accepting states. In these parallel setups, each thread performs the same search strategy (an NDFS) and differs only in the search order (swarming). Because each thread shares some information about its own progress in the NDFS, synchronization points (if a state is handled by multiple threads in the nested DFS, its status is only updated after all threads have finished) or recomputing procedures (to resolve conflicts a posteriori using yet another DFS) are required. So far, attempts to design scalable parallel DFS-based emptiness check that does not require such mechanisms have failed [14].

This paper proposes new parallel emptiness checks for TGBA built upon two SCC-based strategies that do not require such synchronization points nor recomputing procedures. The reason no such mechanisms are necessary is that threads only share structural information about the automaton of the form "states x and y are in the same SCC" or "state x cannot be part of a counterexample". Since threads do not share any information about the progress of their search, we can actually mix threads with different strategies in the same emptiness check. Because the shared information can be used to partition the states of the automaton, it is stored in a global and lock-free union-find data structure.

Section 2 defines TGBAs and introduces our notations. Section 3 presents our two SCC-based strategies. Finally, Section 4 compares emptiness checks based on these new strategies against existing algorithms.

#### 2 Preliminaries

A *TGBA* is a tuple  $A = \langle Q, q^0, \delta, \mathcal{F} \rangle$  where Q is a finite set of states,  $q^0$  is a designated initial state,  $\mathcal{F}$  is a finite set of acceptance marks, and  $\delta \subseteq Q \times 2^{\mathcal{F}} \times Q$  is the (non-deterministic) transition relation where each transition is labelled by a subset of acceptance marks. Let us note that in a real model checker, transitions (or states) of the automata would be labeled by atomic propositions, but we omit this information as it is not pertinent to emptiness check algorithms.

A path between two states  $q, q' \in Q$  is a finite and non-empty sequence of adjacent transitions  $\rho = (s_1, \alpha_1, s_2)(s_2, \alpha_2, s_3) \dots (s_n, \alpha_n, s_{n+1}) \in \delta^+$  with  $s_1 = q$  and  $s_{n+1} = q'$ . We denote the existence of such a path by  $q \rightsquigarrow q'$ . When q = q' the path is a cycle. This cycle is accepting iff  $\bigcup_{0 \le i \le n} \alpha_i = \mathcal{F}$ .

A non-empty set  $S \subseteq Q$  is a Strongly Connected Component (SCC) iff  $\forall s, s' \in S, s \neq s' \Rightarrow s \rightsquigarrow s'$  and S is maximal w.r.t. inclusion. If S is not maximal we call it a *partial* SCC. An SCC is *accepting* iff it contains an accepting cycle. The language of a TGBA A is non-empty iff there is a path from  $q^0$ to an accepting SCC, i.e. the language of A is non-empty ( $\mathscr{L}(A) \neq \emptyset$ ). **Fig. 1.** LIVE states are numbered by their live number, dead states are stroke. Clouds represents SCC as discovered so far. The current state of the DFS is 7, and the DFS stack is represented by thick edges. All plain edges have already been explored while dashed edges are yet to be explored. Closing edges have white triangular tips.



### 3 Generalized Parallel Emptiness Checks

In a previous work [24] we presented sequential emptiness checks for generalized Büchi automata derived from the SCC enumeration algorithms of Tarjan [27] and Dijkstra [11], and a third one using a union-find data-structure. This section adapts these algorithms to a parallel setting.

The sequential versions of Tarjan-based and Dijkstra-based emptiness checks both have very similar structures: they explore the automaton using a single DFS to search for accepting SCCs and maintain a partition of the states into three classes. States that have not already been visited are UNKNOWN; a state is LIVE when it is part of an SCC that has not been fully explored (i.e., it is part of an SCC that contains at least one state on the DFS stack); the other states are called DEAD. A DEAD state cannot be part of an accepting SCC. Any LIVE state can reach a state on the DFS stack, therefore a transition from the DFS stack leading to a LIVE state is called a *closing edge*. Figure 1 illustrates some of these concepts.

These two algorithms differ in the way they propagate information about currently visited SCCs, and when they detect accepting SCCs. A Tarjan-based emptiness check propagates information during backtrack, and may only find accepting SCC when its *root* is popped. (The *root* of an SCC is the first state encountered by the DFS when entering it.) A Dijkstra-based emptiness check propagates information every time a closing edge is detected: when this happens, a partial SCC made of all states on the cycle closed by the closing edge is immediately formed. While we have shown these two emptiness checks to be comparable [24], the Dijkstra-based algorithm reports counterexamples earlier: as soon as all the transitions belonging to an accepting cycle have been seen.

A third algorithm was a variant of Dijkstra using a union-find data structure to manage the membership of each state to its SCC. Note that this data structure could be used as well for a Tarjan-based emptiness check.

Here, we parallelize the Tarjan-based and Dijkstra-based algorithms and use a (lock-free) shared union-find data structure. We rely on the *swarming* tech-

Algorithm 1: Main procedure

```
1 Shared Variables:
                                                                      GET\_STATUS(q \in Q) \rightarrow Status
                                                                 30
                                                                         if livenum.contains(q)
                                                                 31
        A: TGBA of \langle Q, q^0, \delta, \mathcal{F} \rangle
 \mathbf{2}
                                                                         | return LIVE
                                                                 \mathbf{32}
        stop: boolean
 3
        uf: union-find of \langle Q \cup Dead, 2^{\mathcal{F}} \rangle
                                                                         else if uf.contains(varq) \wedge
                                                                 33
 4
                                                                 34
                                                                                    uf.same_set(q, Dead)
                                                                           return DEAD
                                                                 \mathbf{35}
     Global Structures:
 \mathbf{5}
                                                                 36
                                                                         else
                                                acc: 2^{\mathcal{F}},
        struct Step { src: Q,
 6
                                                                 37
                                                                           return UNKNOWN
                                              succ: 2^{\delta}
                            pos: int,
 7
        struct Transition { src: Q, acc: 2^{\mathcal{F}}
 8
 9
                                      dst: Q
                                                Tarjan,
        enum Strategy { Mixed,
10
                                  Dijkstra}
                                                                 38 EC(str: Strategy, tid: int)
11
                                                                         seed(tid) // Random Number Gen.
                                                                 39
         enum Status { LIVE,
                                               DEAD,
\mathbf{12}
                                                                         \operatorname{PUSH}_{str}(\emptyset, q^0)
                                                                 40
                                 UNKNOWN}
13
                                                                         while \neg dfs.empty() \land \neg stop
                                                                 41
     Local Variales:
14
                                                                            Step step \leftarrow dfs.top()
                                                                 42
        dfs: stack of \langle Step \rangle
\mathbf{15}
                                                                           if step.succ \neq \emptyset
                                                                 \mathbf{43}
        live: stack of \langle Q \rangle
16
                                                                              Transition t \leftarrow randomly
                                                                 \mathbf{44}
        livenum: hashmap of \langle Q, int \rangle
\mathbf{17}
                                                                 \mathbf{45}
                                                                                  pick one off from step.succ
        pstack: stack of \langle P \rangle
18
                                                                 46
                                                                              switch GET_STATUS(t.dst)
                                                                 \mathbf{47}
                                                                                 case DEAD
19 main(str: Strategy)
                                                                 48
                                                                                 skip
        stop \leftarrow \bot
\mathbf{20}
                                                                 \mathbf{49}
                                                                                 case LIVE
        uf.make\_set(\langle Dead, \emptyset \rangle)
\mathbf{21}
                                                                                 UPDATE<sub>str</sub> (t.acc, t.dst)
                                                                 \mathbf{50}
       if str \neq Mixed
22
                                                                                 case UNKNOWN
        \parallel EC(str, 1) \parallel \ldots \parallel EC(str, n)
                                                                 \mathbf{51}
\mathbf{23}
                                                                 \mathbf{52}
                                                                                 PUSH<sub>str</sub> (t.acc, t.dst)
        else
\mathbf{24}
\mathbf{25}
           str \leftarrow \text{Dijkstra}
                                                                           else
                                                                 \mathbf{53}
          EC(str, 1) \parallel \ldots \parallel EC(str, \lfloor \frac{n}{2} \rfloor)
26
                                                                              POP_{str}(step)
                                                                 \mathbf{54}
           str \leftarrow Tarjan
27
          \operatorname{EC}(str, 1+\lfloor \frac{n}{2} \rfloor) \parallel \ldots \parallel \operatorname{EC}(str, n)
\mathbf{28}
                                                                        stop \leftarrow \top
                                                                 55
        Wait for all threads to finish
29
```

nique: each thread execute the same algorithm, but explores the automaton in a different order [16]. Furthermore, threads will use the union-find to share information about membership to SCCs, acceptance of these SCCs, and DEAD states. Note that the shared information is stable: the fact that two states belong to the same SCC, or that a state is DEAD will never change over the execution of the algorithm. All threads may therefore reuse this information freely to accelerate their exploration, and to find accepting cycles collaboratively.

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#### 3.1 Generic Canvas

Algorithm 1 presents the structure common to the Tarjan-based and Dijkstrabased parallel emptiness checks.

All threads share the automaton A to explore, a *stop* variable used to stop all threads as soon an accepting cycle is found or one thread detects that the whole automaton has been visited, and the union-find data-structure [20]. The union-find maintains the membership of each state to the various SCCs of the automaton, or the set of DEAD states (a state is DEAD if it belongs to the same class as the artificial *Dead* state). Furthermore this data structure has been extended to store the acceptance marks occurring in an SCC.

The union-find structure partitions the set  $Q' = Q \cup \{Dead\}$  labeled with an element of  $2^{\mathcal{F}}$  and offers the following methods:

- make\_set( $s \in Q'$ ) creates a new class containing the state s if s is not already in the union-find.
- contains ( $s \in Q'$ ) checks whether s is already in the union-find.
- unite  $(s_1 \in Q', s_2 \in Q', acc \in 2^{\mathcal{F}})$  merges the classes of  $s_1$  and  $s_2$ , and adds the acceptance marks *acc* to the resulting class. This method returns the set of acceptance marks of resulting class. However, when the class constructed by unite contains *Dead*, this method always returns  $\emptyset$ . An accepting cycle can therefore be reported as soon as unite returns  $\mathcal{F}$ .
- same\_set( $s_1 \in Q', s_2 \in Q'$ ) checks whether two states are in the same class.

As suggested by Anderson and Woll [1], we implement a thread safe version of this union-find structure using *compare-and-swap* since it relies on linked lists and an hash table.

The original sequential algorithms maintain a stack of LIVE states in order to mark all states of an explored SCC as DEAD. In our previous work [24], we suggested to use a union-find data structure for this, allowing to mark all states of an SCC as dead by doing a single unite with an artificial *Dead* state. However, this notion of LIVE state (and closing edge detection) is obviously dependent on the traversal order, and will therefore be different in each thread. Consequently, each thread has to keep track locally of its own LIVE states. Thus, each thread maintains the following local variables:

- The dfs stack stores elements of type Step composed of the current state (src), the acceptance mark (acc) for the incoming transition (or  $\emptyset$  for the initial state), an identifier pos (whose use is different in Dijkstra and Tarjan) and the set succ of unvisited successors of the src state.
- The *live* stack stores all the LIVE states that are not on the *dfs* stack (as suggested by Nuutila and Soisalon-Soininen [19]).
- The hash map *livenum* associates each LIVE state to a (locally) unique increasing identifier.
- *pstack* holds identifiers that are used differently in the emptiness checks of this paper.

With these data structures, a thread can decide whether a state is LIVE, DEAD, or UNKNOWN (i.e., new) by first checking *livenum* (a local structure), and then *uf* (a shared structure). This test is done by GET\_STATUS. Note that a state marked LIVE locally may have already been marked DEAD by another thread, thus leading to redundant work. However, avoiding this extra work would require more queries to the shared *uf*.

The procedure EC shows the generic DFS that will be executed by all threads. The order of the successors is chosen randomly in each thread, and the DFS stops as soon as one thread sets the *stop* flag. GET\_STATUS is called on each reached state to decide how it has to be handled: DEAD states are ignored, UNKNOWN states are pushed on the *dfs* stack, and LIVE states correspond to closing edges. This generic DFS is adapted to the Tarjan and Dijkstra strategies by calling  $PUSH_{str}$  on new states,  $UPDATE_{str}$  on closing edges, and  $POP_{str}$  when all the successors of a state have been visited by this thread.

Several parallel instances of this EC algorithm are instantiated by the main procedure, possibly using different strategies. Each instance is parameterized by a unique identifier *tid* and a *Strategy* selecting either Dijkstra or Tarjan. If main is called with the Mixed strategy, it instantiates a mix of both emptiness-checks. When one thread reports an accepting cycle or ends the exploration of the entire automaton, it sets the *stop* variable, causing all threads to terminate. The main procedure therefore only has to wait for all threads to terminate.

#### 3.2 The Tarjan Strategy

Strategy 1 shows how the generic canvas is refined to implement the Tarjan strategy. In this algorithm, each new LIVE state is numbered with the actual number of LIVE states during the  $PUSH_{Tarjan}$  operation. Furthermore each state is associated to a *lowlink*, i.e., the smallest live number of any state known to be reachable from this state. These *lowlinks*, whose purpose is to detect the root of each SCC, are only maintained for the states on the *dfs* stack, and are stored on the *pstack*.

These lowlinks are updated either when a closing edge is detected in the UPDATE Tarjan method (in this case the current state and the destination of the closing edge are in the same SCC) or when a non-root state is popped in POP Tarjan (in this case the current state and its predecessor on the dfs stack are in the same SCC). Every time a lowlink is updated, we therefore learn that two states belong to the same SCC and can publish this fact to the shared uf taking into account any acceptance mark between those two states. If the uf detects that the union of these acceptance marks with those already known for this SCC is  $\mathcal{F}$ , then the existence of an accepting cycle can be reported immediately.

POP<sub>Tarjan</sub> has two behaviors depending on whether the state being popped is a root or not. At this point, a state is a root if its *lowlink* is equal to its live number. Non-root states are transferred from the dfs stack to the *live* stack. When a root state is popped, we first publish that all the SCC associated to this root is DEAD, and also locally we remove all these states from *live* and *livenum* using the markdead function.

Strategy 1: Tarjan	Strategy 2: Dijkstra		
struct $P \{p : int\}$	struct $P \{p : int, acc : 2^{\mathcal{F}}\}$		
1 PUSH <sub>Tarjan</sub> ( $acc \in 2^{\mathcal{F}}, q \in Q$ ) 2   $uf.make\_set(q)$ 3   $p \leftarrow livenum.size()$ 4   $livenum.insert(\langle q, p \rangle)$ 5   $pstack.push(\langle p \rangle)$ 6   $dfs.push(\langle q, acc, p, succ(q) \rangle)$ 7 UPDATE <sub>Tarjan</sub> ( $acc \in 2^{\mathcal{F}}, d \in Q$ ) 8   $pstack.top().p \leftarrow$ 9   $min(pstack.top().p,$ 10   $livenum.get(d)$ ) 11   $a \leftarrow uf.unite(d, dfs.top().src,$ 12   $acc$ ) 13   if $a = \mathcal{F}$ 14   $stop \leftarrow \top$ 15   report accepting cycle found	$\begin{array}{c c c c c c c c c c c c c c c c c c c $		
16 POP Tarjan ( $s \in Step$ ) 17 $dfs.pop()$ 18 $\langle ll \rangle \leftarrow pstack.pop()$ 19 if $ll = s.pos$ 20 $\lfloor markdead(s)$ 21 else 22 $pstack.top().p \leftarrow$ 23 $min(pstack.top().p, ll)$ 24 $a \leftarrow uf.unite(s.src,$ 25 $dfs.top().src, s.acc)$ 26 if $a = \mathcal{F}$ 27 $\lfloor stop \leftarrow \top$ 28 $\lfloor veport accepting cycle found$ 29 $\lfloor live.push(s.src)$	19 POP <sub>Dijkstra</sub> ( $s \in Step$ ) 20   $dfs.pop()$ 21   if $pstack.top().p = dfs.size()$ 22   $pstack.pop()$ 23   markdead( $s$ ) 24   else 25   $live.push(s.src)$ 26 // Common to all strategies. 27 markdead( $s \in Step$ ) 28   $uf.unite(s.src, Dead)$ 29   $livenum.remove(s.src)$ 30   while $livenum.size() > s.pos$ 31   $q \leftarrow live.pop()$ 32   $livenum.remove(q)$		

# Fig. 2. Worst cases to detect accepting cycle using only one thread. The left automaton is bad for Tarjan since the accepting cycle is always found only after popping state 1. The right one disadvantages Dijkstra since the union of the states represented by dots can be costly.



If there is no accepting cycle, the number of calls to unite performed in a single thread by this strategy is always the number of transitions in each SCC (corresponding to the lowlink updates) plus the number of SCCs (corresponding to the calls to markdead). The next strategy performs fewer calls to unite.

#### 3.3 The Dijkstra Strategy

Strategy 2 shows how the generic canvas is refined to implement the Dijkstra strategy. The way LIVE states are numbered and the way states are marked as DEAD is identical to the previous strategy. The difference lies in the way SCC information is encoded and updated.

This algorithm maintains pstack, a stack of potential roots, represented (1) by their positions p in the dfs stack (so that we can later retrieve the incoming acceptance marks and the live number of the potential roots), and (2) the union acc of all the acceptance marks seen in the cycles visited around the potential root.

Here *pstack* is updated only when a closing edge is detected, but not when backtracking a non-root as done in Tarjan. When a closing edge is detected, the live number *dpos* of its destination can be used to pop all the potential roots on this cycle (those whose live number are greater than *dpos*), and merge the sets of acceptance marks along the way: this happens in UPDATE<sub>Dijkstra</sub>. Note that the *dfs* stack has to be addressable like an array during this operation.

As it is presented, UPDATE<sub>Dijkstra</sub> calls unite only when a potential root is discovered not be a root (lines 10–14). In the particular case where a closing edge does not invalidate any potential root, no unite operation is performed; still, the acceptance marks on this closing edge are updated locally line 15. For instance in Figure 1, when the closing edge (7, 4) is explored, the root of the right-most SCC (containing state 7) will be popped (effectively merging the two right-most SCCs in uf) but when the closing edge (7, 2) is later explored no pop will occur because the two states now belong to the same SCC. This strategy therefore does not share all its acceptance information with other threads. In this strategy, the acceptance accumulated in *pstack* locally are enough to detect accepting cycles. However the unite operation on line 14 will also return some acceptance marks discovered by other threads around this state: this additional information is also accumulated in *pstack* to speedup the detection of accepting cycles.

In this strategy, a given thread only calls unite to merge two disjoint sets of states belonging to the same SCC. Thus, the total number of unite needed to build an SCC of n states is necessarily equal to n - 1. This is better than the Tarjan-based version, but it also means we share less information between threads.

#### 3.4 The Mixed Strategy

Figure 2 presents two situations on which Dijkstra and Tarjan strategies can clearly be distinguished.

The left-hand side presents a bad case for the Tarjan strategy. Regardless of the transition order chosen during the exploration, the presence of an accepting cycle is only detected when state 1 is popped. This late detection can be costly because it implies the exploration of the whole subgraph represented by a cloud.

The Dijkstra strategy will report the accepting cycle as soon as all the involved transitions have been visited. So if the transition (1,0) is visited before the transition going to the cloud, the subgraph represented by this cloud will not be visited since the counterexample will be detected before.

On the right-hand side of Fig. 2, the dotted transition represents a long path of m transitions, without acceptance marks. On this automaton, both strategies will report an accepting cycle when transition (n, 0) is visited. However, the two strategies differ in their handling of transition (m, 0): when Dijkstra visits this transition, it has to pop all the candidate roots  $1 \dots m$ , calling unite m times; Tarjan however only has to update the *lowlink* of m (calling unite once), and it delays the update of the *lowlinks* of states  $0 \dots m-1$  to when these states would be popped (which will never happen because an accepting cycle is reported).

In an attempt to get the best of both worlds, the strategy called "Mixed" in Algo. 1 is a kind of *collaborative portfolio* approach: half of the available threads run the Dijkstra strategy and the other half run the Tarjan strategy. These two strategies can be combined as desired since they share the same kind of information.

**Discussion.** All these strategies have one drawback since they use a local check to detect whether a state is alive or not: if one thread marks an SCC as DEAD, other threads already exploring the same SCC will not detect it and will continue to perform unite operations. Checking whether a state is DEAD in the global uf could be done for instance by changing the condition of line 43 of Algo. 1 into:  $step.succ \neq \emptyset \land \neg uf.same\_set(step.src, Dead)$ . However such a change would be costly, as it would require as many accesses to the shared structure as there are transitions in the automaton. To avoid these additional accesses to uf, we propose to change the interface of unite so it returns an additional Boolean flag indicating that one of the two states is already marked as DEAD in uf. Then whenever unite is called and the extra bit is set, the algorithm can immediately backtrack the dfs stack until it finds a state that is not marked as DEAD.

Moreover these strategies only report the existence of an accepting cycle but do not extract it. When a thread detects an accepting cycle, it can stop the others threads and can optionally launch a sequential counterexample computation [10]. Nonetheless, when performing a Dijkstra strategy the extraction can be limited to the states that are already in the union-find. The search of the accepting cycle can also be restricted to states whose projection are in the same SCC of the property automaton.

#### 3.5 Sketch of Proof

Due to lack of space, and since the Tarjan strategy is really close to the Dijkstra strategy, we only give the scheme of a proof<sup>1</sup> that the latter algorithm will terminate and will report a counterexample if and only if there is an accepting cycle in the automaton.

**Theorem 1.** For all automata A the emptiness check terminates.

**Theorem 2.** The emptiness check reports an accepting cycle iff  $\mathscr{L}(A) \neq \emptyset$ .

The theorem 1 is obvious since the emptiness check performs a DFS on a finite graph. Theorem 2 ensues from the invariants below which use the following notations. For any thread, n denotes the size of its *pstack* stack. For  $0 \le i < n$ ,  $S_i$  denotes the set of states in the same partial SCC represented by pstack[i]:

$$S_{i} = \left\{ q \in livenum \middle| \begin{array}{l} dfs[pstack[i].p].pos \leq livenum[q] \\ livenum[q] \leq dfs[pstack[i+1].p].pos \end{array} \right\} \quad \text{for } i < n-1$$
$$S_{n-1} = \left\{ q \in livenum \middle| \begin{array}{l} dfs[pstack[n-1].p].pos \leq livenum[q] \right\}$$

The following invariants hold for all lines of algorithm 1:

**Invariant 1.** *pstack* contains a subset of positions in *dfs*, in increasing order. **Invariant 2.** For all  $0 \le i < n - 1$ , there is a transition with the acceptance marks *dfs*[*pstack*[*i* + 1].*p*].*acc* between *S<sub>i</sub>* and *S<sub>i+1</sub>*.

**Invariant 3.** For all  $0 \le i < n$ , the subgraph induced by  $S_i$  is a partial SCC. **Invariant 4.** If the class of a state inside the union-find is associated to  $acc \ne \emptyset$ , then the SCC containing this state has a cycle visiting *acc*. (Note: a state in the same class as *Dead* is always associated to  $\emptyset$ .)

**Invariant 5.** The first thread marking a state as DEAD has seen the full SCC containing this state.

Invariant 6. The set of DEAD states is a union a maximal SCC.

Invariant 7. If a state is DEAD it cannot be part of an accepting cycle.

These invariants establish both directions of Theorem 2: invariants 1–4 prove that when the algorithm reports a counterexample there exists a cycle visiting all acceptance marks; invariants 5–7 justify that when the algorithm exits without reporting anything, then no state can be part of a counterexample.

#### 4 Implementation and Benchmarks

Table 1 presents the models we use in our benchmark and gives the average size of the synchronized products. The models are a subset of the BEEM benchmark [21], such that every type of model of the classification of Pelánek [22] is represented, and all synchronized products have a high number of states, transitions, and SCC. Because there are too few LTL formulas supplied by BEEM,

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<sup>&</sup>lt;sup>1</sup> A complete proof can be found at: http://www.lrde.epita.fr/~renault/publis/ TACAS15.pdf

**Table 1.** Statistics about synchronized products having an empty language ( $\checkmark$ ) and non-empty one ( $\times$ ).

	Avg. States		Avg. Trans.		Avg. SCCs	
Model	$(\checkmark)$	$(\times)$	$(\checkmark)$	$(\times)$	$(\checkmark)$	$(\times)$
adding.4	5637711	7720939	10725851	14341202	5635309	7716385
bridge.3	1702938	3114566	4740247	8615971	1701048	3106797
brp.4	15630523	38474669	33580776	94561556	4674238	16520165
collision.4	30384332	101596324	82372580	349949837	347535	22677968
cyclic-sched	724400	1364512	6274289	12368800	453547	711794
elevator.4	2371413	3270061	7001559	9817617	1327005	1502808
elevator2.3	10339003	13818813	79636749	120821886	2926881	6413279
exit.3	3664436	8617173	11995418	29408340	3659550	8609674
leader-el.3	546145	762684	3200607	4033362	546145	762684
prod-cell.3	2169112	3908715	7303450	13470569	1236881	1925909

we opted to generate random formulas to verify on each model. We computed a total number of 3268 formulas.<sup>2</sup>

The presented algorithms deal with any kind of generalized Büchi automata, but there exists specialized algorithms for subclasses of Büchi automata. For instance the verification of a safety property reduces to a reachability test. Similarly, persistent properties can be translated into automata where SCC cannot mix accepting cycles with non-accepting cycles [8] and for which a simpler emptiness check exists. Our benchmark contains only non-persistent properties, requiring a general emptiness check.

Among the 3268 formulas, 1706 result in products with the model having an empty language (the emptiness check may terminate before exploring the full product). All formulas were selected so that the sequential NDFS emptiness check of Gaiser and Schwoon [15] would take between 15 seconds and 30 minutes on an four Intel(R) Xeon(R) CPUX7460@ 2.66GHz with 128GB of RAM. This 24-core machine is also used for the following parallel experiments.

All the approaches mentioned in Section 3 have been implemented in Spot [12]. The union-find structure is lock-free and uses two common optimizations: "Immediate Parent Check", and "Path Compression" [20].

The seed used to choose a successor randomly depends on the thread identifier *tid* passed to EC. Thus our strategies have the same exploration order when executed sequentially; otherwise this order may be altered by information shared by other threads.

Figure 3 presents the comparison of our prototype implementation in Spot against the cndfs algorithm implemented in LTSmin and the owcty algorithm implemented in DiVine 2.4. We selected owcty because it is reported to be the

<sup>&</sup>lt;sup>2</sup> For a description of our setup, including selected models, formulas, and detailed results, see http://www.lrde.epita.fr/~renault/benchs/TACAS-2015/results. html.

most efficient parallel emptiness check based on a non-DFS exploration, while cndfs is reported to be the most efficient based on a DFS [14].

We generate the corresponding system automata using the version of DiVinE 2.4 patched by the LTSmin team.<sup>3</sup> For each emptiness check, we limit the execution time to one hour: all the algorithms presented in this paper proceess the 3268 synchronized products within this limit while owcty fails over 11 cases and cndfs fails over 784 cases. DiVinE and LTSmin implement all sorts of optimizations (like state compression, caching of successors, dedicated memory allocator...) while our implementation in Spot is still at a prototype stage. So in absolute time, the sequential version of cndfs is around 3 time faster<sup>5</sup> than our prototype implementation which is competitive to DiVinE. Since the implementations are different, we therefore compare the average speedup of the parallel version of each algorithm against its sequential version. The actual time can be found in the detailed results<sup>2</sup>.

The left-hand side of Figure 3 shows those speedups, averaged for each model, for verified formulas (where the entire product has to be explored). First, it appears that the Tarjan strategy's speedup is always lower than those of Dijkstra or Mixed for empty products. These low speedups can be explained by contention on the shared union-find data structure during unite operations. In an SCC of n states and m edges, a thread applying the Tarjan strategy performs m unite calls while applying Dijkstra one needs only n-1 unite invocations before they both mark the whole SCC as DEAD with a unique unite call.

Second, for all strategies we can distinguish two groups of models. For adding.4, bridge.3, exit.3, and leader-election.3, the speedups are quasi-linear. However for the other six models, the speedups are much more modest: it seems that adding new threads quickly yield no benefits. A look to absolute time (for the first group) shows that the Dijkstra strategy is 25% faster than cndfs using 12 threads where it was two time slower with only one thread.

A more detailed analysis reveals that products of the first group have many small SCC (organized in a tree shape) while products of the second group have a few big SCC. These big SCC have more closing edges: the union-find data structure is stressed at every unite. This confirms what we observed for the Tarjan strategy about the impact of unite operations.

The right-hand side of Figure 3 shows speedups for violated formulas. In these cases, the speedup can exceed the number of threads since the different threads explore the product in different orders, thus increasing the probability to report an accepting cycle earlier. The three different strategies have comparable speedup for all models, however their profiles differ from cndfs on some models:

<sup>&</sup>lt;sup>3</sup> http://fmt.cs.utwente.nl/tools/ltsmin/#divine

<sup>&</sup>lt;sup>4</sup> This figure can be zoomed in color in the electronic version.

<sup>&</sup>lt;sup>5</sup> Note that the time measured for cndfs does not includes the on-the-fly generation of the product (it is precalculated because doing the on-the-fly product in LTSmin exhibits a bug) while the time measured for the others includes the generation of the product.



Fig. 3. Speedup of emptiness checks over the benchmark.  $^4$ 

they have better speedups on bridge.3, exit.3, and leader-election.3, but are worse on collision.4, elevator.4 and production-cell.3. The Mixed strategy shows speedups between those of Tarjan and Dijkstra strategies.

## 5 Conclusion

We have presented some first and new parallel emptiness checks based on an SCC enumeration. Our approach departs from state-of-the-art emptiness checks since it is neither BFS-based nor NDFS-based. Instead it parallelizes SCC-based emptiness checks that are built over a single DFS. Our approach supports generalized Büchi acceptance, and requires no synchronization points nor repair procedures. We therefore answer positively to the question raised by Evange-lista et al. [14]: "Is the design of a scalable linear-time algorithm without repair procedures or synchronisation points feasible?". Our prototype implementation has encouraging performances: the new emptiness checks have better speedup than existing algorithms in half of our experiments, making them suitable for portfolio approaches.

The core of our algorithms relies on a union-find (lock-free) data structure to share structural information between multiple threads. The use of a union-find seems adapted to this problem, and yet it has never been used for parallel emptiness checks (and only recently for sequential emptiness checks [24]): we believe that this first use might stimulate other researchers to derive new emptiness checks or ideas from it.

In some future work, we would like to investigate different variations of our algorithms. For instance could the information shared in the union-find be used to better direct the DFS performed by the Dijkstra or Tarjan strategies and help to balance the exploration of the automaton by the various threads? We would also like to implement Gabow's algorithm that we presented in a sequential context [24] in this same parallel setup. Changing the architecture, we would like to explore how the union-find data structure could be adapted to develop asynchronous algorithms where one thread could call unite without waiting for an answer. Another topic is to explore the use of SCC strengths [25] to improve parallel emptiness checks.

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