



Full Abstraction

40 years of research

The Gandy Colloquium

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Road map

Higher-order computability and Full Abstraction for PCF

Probabilistic Programming

Next Full Abstraction Challenges

Computability at higher types

Church's Thesis (1930)

$(\text{nat} \rightarrow \text{nat})$

A partial function on natural numbers with *first order* type is

'computable' or 'effectively decidable',

if and only if it is computable by a **Turing** machine,

if and only if it belongs to the general recursive functions (**Gödel**),

if and only if it is definable in λ -calculus (**Church**).

Kleene's Problem (1960-1980)

$(\sigma, \tau ::= \text{nat} \mid \sigma \rightarrow \tau)$

Characterize all partial functions with *higher* types that are 'computable' or 'effectively decidable'.

- Realizability, used to extract concrete programs from math. proofs
- An effective version of higher-type computability in term of dialogue
- **Gandy and Pani** explored this direction introducing concepts such as no dangling questions, visibility with (counter-)examples, in a framework tracing the route to *game theory*

Programming Computable Functions (PCF)

(Scott 69-Plotkin 77)

Another approach to the higher type functions computability characterization

Syntax

$(\sigma, \tau ::= \text{nat} \mid \sigma \rightarrow \tau)$

$M, N, P ::= \underbrace{x \mid \lambda x M \mid (M) N}_{\lambda\text{-calculus}} \mid \underbrace{0 \mid \text{succ } M}_{\text{Arithmetics}} \mid \underbrace{\text{if } M = 0 \text{ then } N \text{ else } P}_{\text{Conditionnal}} \mid \underbrace{\text{fix } M}_{\text{Recursion}}$

Operational Semantics (Call-by-Name)

(selected rules)

$$\frac{}{(\lambda x M)N \rightarrow M[N/x]} \quad \frac{}{\text{fix } M \rightarrow (M)(\text{fix}M)} \quad \frac{M \rightarrow M'}{(M)N \rightarrow (M')N}$$

Denotational Semantics

Complete Partial Orders with continuous functions

- `nat` interpreted as flat domains with partiality with arithmetic
- `fix` induces partiality

Results relating operational and denotational semantics

Soundness:

Semantics is an invariant of computation

If $M \rightarrow M'$, then $\llbracket M \rrbracket = \llbracket M' \rrbracket$.

Computational Adequacy:

A perfect match at observational types

For all closed term M of type nat , $M \rightarrow^* n$ if and only if $\llbracket M \rrbracket = n$.

Observational equivalence:

$M \simeq_{\text{obs}} N$ if $\forall C \ C[M] \rightarrow^* n \iff C[N] \rightarrow^* n$

Full abstraction:

Correspondence at any type

$M \simeq_{\text{obs}} N$ if and only if $\llbracket M \rrbracket = \llbracket N \rrbracket$

Milner proved that there is a (unique) Fully Abstract standard model of PCF based on the syntax.

Definability criteria for Full Abstraction

A *definable* element of the model is the semantics of a program of PCF.

Separable definability: if $f \neq g$ definable of type τ , then there is h definable of type $\tau \rightarrow \text{nat}$ such that $h \circ f \neq h \circ g$.

Proposition Separable definability implies Full Abstraction

Proof. By contradiction, if $\llbracket M \rrbracket \neq \llbracket N \rrbracket$, then there is P such that

$$\llbracket (P)M \rrbracket = \llbracket P \rrbracket \circ \llbracket M \rrbracket \neq \llbracket P \rrbracket \circ \llbracket N \rrbracket = \llbracket (P)N \rrbracket$$

Conclude by adequacy, as $(P)M$ is of type nat .

Milner characterisation: Full Abstraction results from

- Compact definability (all compact elements at all types are definable)
- Extensionality (elements of the model at function types are functions)

Quest for a syntax free description of the FA model

Examples:

- Scott Model is not FA for PCF, but for PCF+POR
(Plotkin)
- Sequential algorithm is not FA for PCF, but for PCF+catch
(Curien-Berry)
- Strongly Stable model is extensional but not compact definable
(Bucciarelli-Ehrhard)

Game semantics are compact definable but not extensional

- Games and history free strategies (Abramsky-Jagadesen-Malacaria)
- Games and innocent, well-bracketed strategies (Hyland-Ong and Nickau)

The extensional quotient is a Fully Abstract model

at any type τ , $f \simeq g$ whenever $h \circ f = h \circ g$ for all $h : \tau \rightarrow \mathbf{nat}$.

Gandy's inspiration

Game semantics took its root in Kleene and Gandy's work on higher-order computability where game ingredients appeared (dialogue, views, non dangling questions).

It resulted in a wide range of Full Abstraction results for various languages and effects and new insight in denotational semantics.

Open questions

- Does PCF answer the question of higher type computability ?
(There is no hope for an effective characterization of the FA model of PCF as the observational order is undecidable for finitary PCF as shown by Loader.)
- What is the Church thesis for Higher Type ?

Probabilistic Programming

Bayesian Inference

Probabilistic programming languages

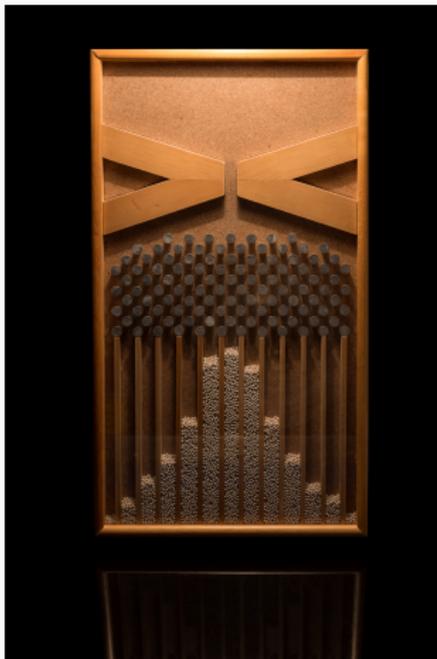
*Every programmer can perform data analysis by describing models as programs and key operations (*inference* computations are delegated to compiler).*

BUGS (Spiegelhalter et al. 1995), BLOG (Milch et al. 2005), Church (Goodman et al. 2008), WebPPL (Goodman et al. 2014), Venture (Mansinghka et al. 2014), Anglican (Wood et al. 2015), Stan (Stan Development Team 2014), Hakaru (Narayanan et al., 2016) BayesDB (Mansinghka et al. 2017), Edward (Tran et al. Tran et al. 2017), Birch (Murray et al. 2018), Turing (Ge et al. 2018), Gen (Cusumano-Towner et al. 2019), Pyro (Bingham et al. 2019), ...

Sampling

Idea: How to model probability distributions by programs

```
1 def plinko(n):
2     if (n==0):
3         return 0
4     else:
5         if coin():
6             return plinko(n-1)+1
7         else:
8             return plinko(n-1)-1
```



By Matematica (IME USP)

Sampling

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```
sample(plinko(4))  
> 2
```

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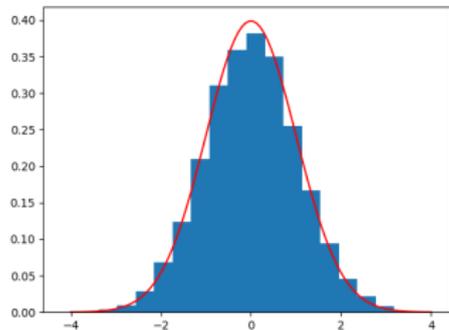
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```

```
sample(plinko(4))
> 2
```

```
nSample(plinko(4), 1000)
plot(gaussian(0,1))
```



What is Bayesian Inference

Gender Bias (Laplace): Paris, from 1745 to 1770

$f_0 = 241\,945$ females out of $B_0 = 493\,472$ births (49%).

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What is the probability to be born female ?

- female births are independent and follow the same law with bias θ
- the probability to get f females out of B births is

$$P(f|\theta, B) = \binom{B}{f} \theta^f (1 - \theta)^{B-f}$$

Novelty: the bias θ to be born female follows a **probabilistic** distribution.

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Inference paradigm: what is the law of θ conditioned on f and B ?

- **Sample** θ from a postulated distribution π (prior)
- **Simulate** data f from the outcome θ (likelihood)
- **Infer** the distribution of θ (posterior) by **Bayes Law**

$$P(\theta | f, B) = \frac{P(f | \theta, B) \pi(\theta)}{\int_{\theta} P(f | \theta, B) \pi(\theta)} = \alpha \cdot P(f | \theta, B) \pi(\theta)$$

Conditioning and inference

```
1 # model
2 def fBirth(theta, B):
3     if (B == 0):
4         return 0
5     else:
6         f = flip(theta)
7         return f + fBirth(theta, B-1)
8
9 # parameter (prior)
10 theta = uniform(0,1)
11
12 # data 1747 - 1783
13 f0 = 241 945
14 B0 = 493 472
15
16 # inference (posterior)
17 infer(fBirth, theta, f0, B0)
```

Idea: adjust `theta` distribution by comparison to data by simulation.

Inference by rejection sampling

```
1 # prior: Unit -> S
2 def guesser() :
3     sample(uniform(0,1))
4
5 # predicate: int x int -> (S -> Boolean)
6 def checker(f0, B0) :
7     lambda theta: gBirth(theta, B0) == f0
8
9 # infer: (Unit -> S) -> (S -> Boolean) -> S
10 def rejection(guesser, checker(f0, B0)):
11     theta = guesser()
12     if checker(f0, B0)(theta):
13         return theta
14     else:
15         rejection(guesser, checker(f0, B0))
```

Problem: inefficient, hence other approximated methods

Inference by Metropolis-Hasting

Infer θ by **Bayes Law**: $P(\theta | f, B) = \alpha \cdot P(f | \theta, B) \pi(\theta)$

```
1 # proportion: S x S -> float
2 def proportion(x, y):
3     return P(f | x, B0) / P(f | y, B0)
4
5 # Metropolis-Hasting: int * int * int -> S
6 def metropolis(n, f0, B0):
7     if (n=0):
8         return f0/B0
9     else:
10        x = metropolis(n-1, f0, B0)
11        y = gaussian(x, 1)
12        z = bernouilli(proportion(x, y))
13        if (z == 0):
14            return x
15        else:
16            return y
```

Probabilistic Programming

Semantics

Problems in semantics

- Prove formally the correspondence between algorithms, **implementations** and mathematics.
- Prove that two programs have **equivalent behavior**

Operational Semantics describes **how** probabilistic programs compute.

Denotational Semantics describes **what** probabilistic programs compute

Problems in semantics

- Prove formally the correspondence between algorithms, **implementations** and mathematics.
- Prove that two programs have **equivalent behavior**

Operational Semantics describes **how** probabilistic programs compute.

$\text{Proba}(M, N)$ is the probability p that M reduces to N in one step, $M \xrightarrow{p} N$ defined by induction on the structure of M :

- $(\lambda x.M)N \xrightarrow{1} M[N/x]$
- $\text{coin} \xrightarrow{1/2} \underline{0}$
- $\text{coin} \xrightarrow{1/2} \underline{1} \quad \dots$

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Denotational Semantics describes **what** probabilistic programs compute

$\llbracket M \rrbracket$ is a probabilistic distribution, if M is a closed ground type program.

- If M has type `nat`, then $\llbracket M \rrbracket$ a *discrete* distribution over integers
- If M has type `real`, then $\llbracket M \rrbracket$ a *continuous* distribution over reals

Operational Semantics on an example

(Borgström-Dal Lago-Gordon-Szymczak ICFP'16)

```
def addCoins():  
  a = coin  
  b = coin  
  c = coin  
  return (a + b + c)
```

- $(\lambda x.M)N \xrightarrow{1} M[N/x]$
- $\text{coin} \xrightarrow{1/2} \underline{0}$
- $\text{coin} \xrightarrow{1/2} \underline{1} \dots$

$\text{addCoins}() \xrightarrow{1}$

a = coin	$\xrightarrow{1/2}$	a = 0	$\xrightarrow{1/2}$	a = 0	$\xrightarrow{1/2}$	a = 0
b = coin		b = coin		b = 1		b = 1
c = coin		c = coin		c = coin		c = 1
(a + b + c)		(a + b + c)		(a + b + c)		(a + b + c)

$\xrightarrow{1}$

b = 1	$\xrightarrow{1}$	c = 1	$\xrightarrow{1}$	(0 + 1 + 1)	$\xrightarrow{1}$	2
c = 1		(0 + 1 + c)				
(0 + b + c)						

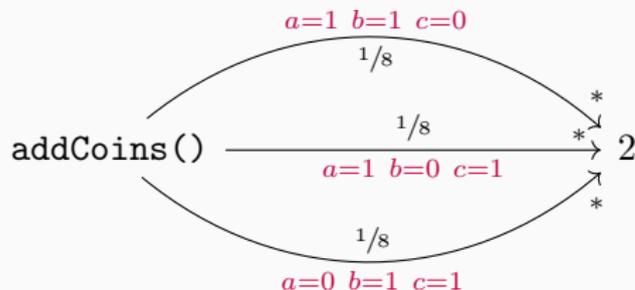
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- $\text{coin} \xrightarrow{1/2} \underline{1} \quad \dots$

$\text{addCoins}() \xrightarrow{1} \begin{matrix} a = \text{coin} \\ b = \text{coin} \\ c = \text{coin} \\ (a + b + c) \end{matrix} \xrightarrow[\text{a=0}]{1/2} \begin{matrix} a = 0 \\ b = \text{coin} \\ c = \text{coin} \\ (a + b + c) \end{matrix} \xrightarrow[\text{b=1}]{1/2} \xrightarrow[\text{c=1}]{1/2} \xrightarrow[1 *]{1} 2$



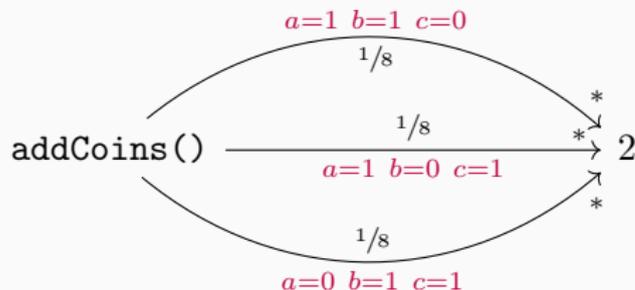
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$$\text{Proba}^\infty(\text{addCoins}(), 2) = \frac{3}{8}$$

Operational Semantics

$\text{Proba}^\infty(M, N)$ is the proba. that M reduces to N in *any* number of steps

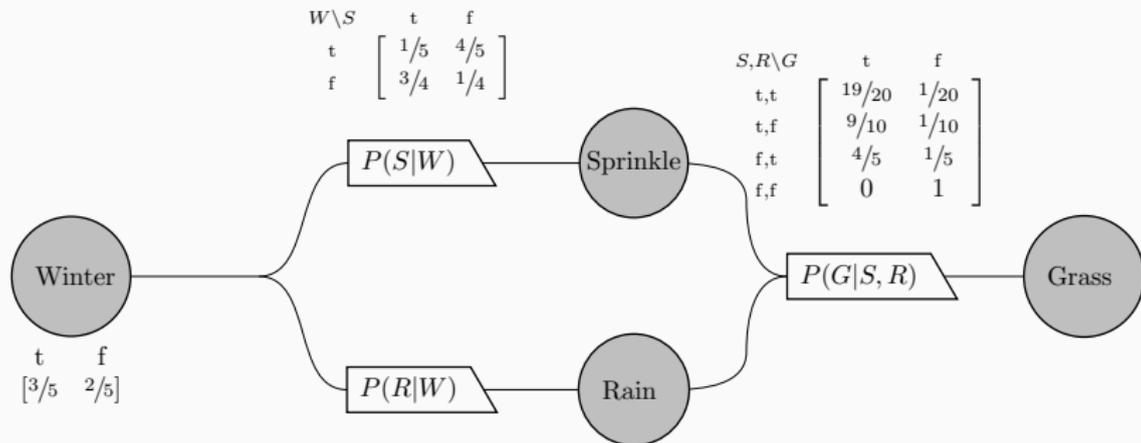
Behavioral equivalence:

$$M_1 \simeq M_2 \quad \text{iff} \quad \forall C[\], \text{Proba}^\infty(C[M_1], \underline{0}) = \text{Proba}^\infty(C[M_2], \underline{0})$$

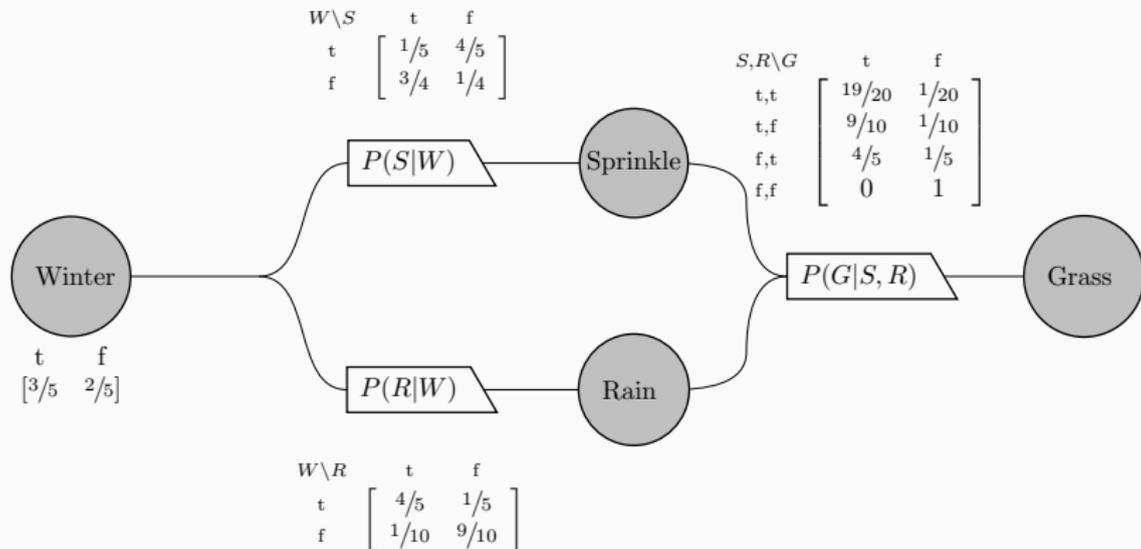
```
1 def addCoins1():
2   a = coin
3   b = coin
4   c = coin
5   return (a + b + c)
```

```
1 def addCoins2():
2   b = coin
3   a = coin
4   c = coin
5   return (a + b + c)
```

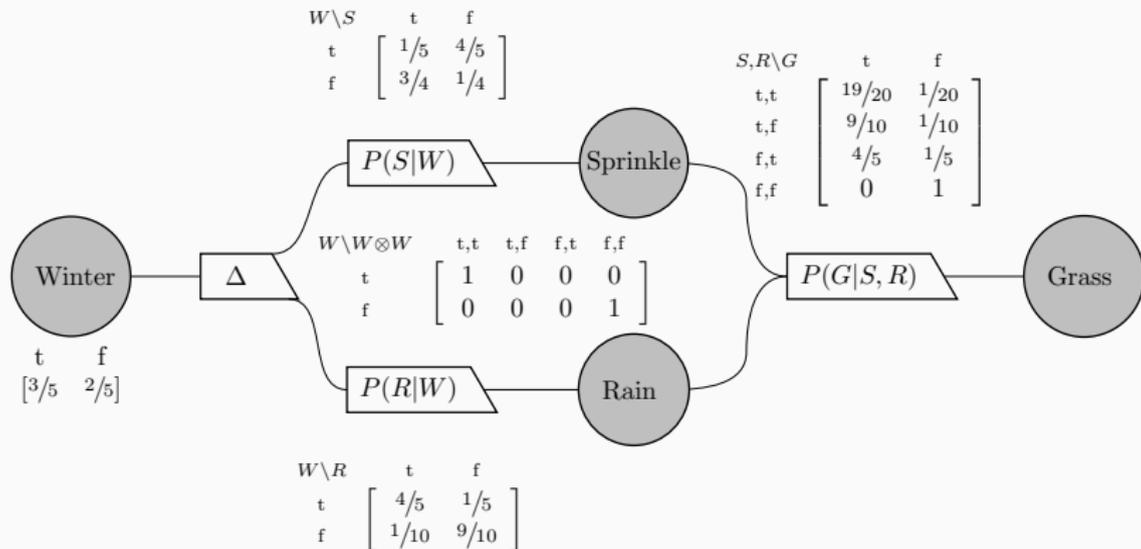
```
1 def infer1(f0, B0):
2   rejection(guesser, checker(f0, B0))
3
4 def infer2(f0, B0):
5   metropolis(f0, B0, 1000)
```



$$p(S) = \left(\sum_{a \in \{t, f\}} P(S|W)_{a,b} \cdot p(W)_a \right)_{b \in \{t, f\}}$$



$$\begin{aligned}
 p(W) P(S|W) &= p(S) & \text{and} & & (p(S) \otimes p(R)) P(G|S, R) &= p(G) \\
 p(W) P(R|W) &= p(R) & & & &
 \end{aligned}$$



$$p(W) \Delta (P(S|W) \otimes P(R|W)) P(G|S, R) = p(G)$$

Probabilistic Coherent Spaces (Pcoh) an adequate model of probabilistic functional programming with discrete probability.



Object

$(|X|, P(X))$

- the universe $|X|$ is a (potentially infinite) set of final states
- a set of vectors $P(X) \subseteq (\mathbb{R}^+)^{|X|}$

closure: $P(X)^{\perp\perp} = P(X)$ where

$$\forall P \subseteq (\mathbb{R}^+)^{|X|}, P^\perp = \{v \in (\mathbb{R}^+)^{|X|} ; \forall u \in P, \sum_{a \in |X|} u_a v_a \leq 1\}$$

bounded covering:

$$\forall a \in |X|, \exists v \in P(X) ; v_a \neq 0 \quad \text{and} \quad \exists p > 0, ; \forall v \in P(X), v_a \leq p.$$

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Type

$A, B ::= \text{nat} \mid A \rightarrow B \mid \dots$ are interpreted by **objects**
 $\llbracket A \rrbracket = (|A|, P(A))$ defined by induction on A .

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- **unit type 1**: $|1| = \{()\}$ and $P(1) = [0, 1]$

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- **$\mathcal{B} = 1 \oplus 1$** : $|\mathcal{B}| = \{t, f\}$ and $P(\mathcal{B}) = \{x \cdot t + y \cdot f \mid x + y \leq 1\}$
 $p(W) = [3/5, 2/5] \in P(\mathcal{B})$.

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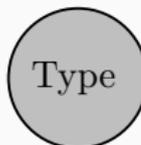
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- **nat = 1 \oplus nat**: $|\text{nat}| = \mathbb{N}$ and $P(\text{nat})$ sub-proba distrib. over \mathbb{N}

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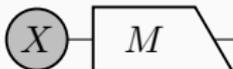


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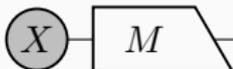
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- **$\text{nat} = 1 \oplus \text{nat}$:** $|\text{nat}| = \mathbb{N}$ and $P(\text{nat})$ sub-proba distrib. over \mathbb{N}
- **$\mathcal{B}^* = 1 \oplus (\mathcal{B} \otimes \mathcal{B}^*)$:** $|\mathcal{B}^*| = \{\epsilon\} \cup \{b_1 \cdots b_n \mid n \in \mathbb{N}, b_i \in |\mathcal{B}|\}$
 and $P(\mathcal{B}^*)$ sub-probability distribution over words of booleans.

Morphism  $\in (\mathbb{R}^+)^{|X| \times |Y|}$ is a matrix

$$\forall x \in \underset{\subseteq (\mathbb{R}^+)^{|X|}}{\mathbf{P}(X)}, M \cdot x = \left(\sum_{a \in |X|} M_{a,b} x_a \right)_{b \in |Y|} \in \underset{\subseteq (\mathbb{R}^+)^{|Y|}}{\mathbf{P}(Y)}$$

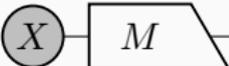
Morphism  $\in (\mathbb{R}^+)^{\mathcal{M}_{\text{fin}}(|X|) \times |Y|}$ is a matrix

$$\forall x \in \underset{\subseteq (\mathbb{R}^+)^{|X|}}{\mathbf{P}(X)}, M(x) = \left(\sum_{m \in \mathcal{M}_{\text{fin}}(|X|)} M_{m,b} \prod_{a \in m} x_a^{m(a)} \right)_{b \in |Y|} \in \underset{\subseteq (\mathbb{R}^+)^{|Y|}}{\mathbf{P}(Y)}$$

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Program  $M, N ::= x \mid \lambda x^A. M \mid (M)N \mid \mathbf{fix}(M) \mid \underline{n} \mid \text{coin} \mid \dots$
are interpreted by **morphisms**, by induction on M

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are interpreted by **morphisms**, by induction on M

- if $M : A$, then $\llbracket M \rrbracket \in \mathbb{P}(A)$

$$\llbracket \underline{n} \rrbracket = (0, \dots, \underset{n}{1}, 0, \dots) \quad \llbracket \text{coin} \rrbracket = \left(\frac{1}{2}, \frac{1}{2}, \underset{0}{0}, \underset{1}{1}, 0, \dots \right)$$

- if $M : A \rightarrow B$, then $\llbracket M \rrbracket : \mathbb{P}(A) \rightarrow \mathbb{P}(B)$ is a Taylor series

Morphism $X \multimap M \multimap Y \in (\mathbb{R}^+)^{\mathcal{M}_{\text{fin}}(|X|) \times |Y|}$ is a matrix

$$\forall x \in \underset{\subseteq (\mathbb{R}^+)^{|X|}}{P(X)}, M(x) = \left(\sum_{m \in \mathcal{M}_{\text{fin}}(|X|)} M_{m,b} \prod_{a \in m} x_a^{m(a)} \right)_{b \in |Y|} \in \underset{\subseteq (\mathbb{R}^+)^{|Y|}}{P(Y)}$$

Program $M, N ::= x \mid \lambda x^A. M \mid (M)N \mid \mathbf{fix}(M) \mid \underline{n} \mid \text{coin} \mid \dots$
are interpreted by morphisms, by induction on M

- if $M : A$, then $\llbracket M \rrbracket \in P(A)$

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- if $M : A \rightarrow B$, then $\llbracket M \rrbracket : P(A) \rightarrow P(B)$ is a Taylor series
- if $M : \mathbf{1} \rightarrow \mathbf{1}$, then $\llbracket M \rrbracket$ is **smooth real function** from $[0, 1]$ to $[0, 1]$
- if $M : \mathbf{nat} \multimap \mathbf{nat}$, then $\llbracket M \rrbracket$ is a sub-**stochastic matrix**

Probabilistic Coherent Spaces

Sound: Deterministic case: if $M \rightarrow N$, then $\llbracket M \rrbracket = \llbracket N \rrbracket$.

$$\llbracket M \rrbracket = \sum_N \mathbf{Proba}(M, N) \llbracket N \rrbracket$$

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Adequate: If M close term of type nat , then $\llbracket M \rrbracket_n = \mathbf{Proba}^\infty(M, \underline{n})$
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Fully abstract: $\llbracket M \rrbracket = \llbracket N \rrbracket$ iff $M \simeq N$

(Ehrhard-Pagani-T. POPL'14)

Based on Taylor series

This Full Abstraction result generalizes to:

- Probabilistic Games (Castellan-Clairambault-Paquet-Winskel LICS'18)
- Call-By-Push-Value (Ehrhard-T. JACM 2019)
- Quantum Programming (Clairambault-De Visme POPL'20)

Zoom on analytic Full Abstraction

Key idea: Prove separate definability

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4. If two formal series have different coefficients, then there are reals small enough on which they differ
5. T_α is the program that separates f and g

Definability: Pcoh morphisms are far from being definable

Next Full Abstraction Challenges

Continuous probability semantics

" The developers of probabilistic programming languages need to ensure that the implementation of compilers, optimizers, and inference algorithms do not have bugs." (van de Meent-Paige-Yang-Wood 2018)

Denotational semantics allows to define the **mathematical meaning** of every probabilistic program.

Problem: Measurable sets and measurable functions are **not suitable** to interpret higher order functional probabilistic programming languages.

The **evaluation map** $ev : \mathcal{F}(\mathbb{R}, \mathbb{R}) \times \mathbb{R} \rightarrow \mathbb{R}$ with $ev(f, r) = f(r)$ is not measurable whatever measurable sets we put on the set $\mathcal{F}(\mathbb{R}, \mathbb{R})$ of measurable functions between reals endowed with borel sets.

(Aumann 1961)

Towards FA for continuous probability

Models for Higher-order languages with continuous probabilities

- Quasi Borel Spaces
(Kammar-Staton+Heunen-Yang LICS'17, +Vakar POPL'19)
- Measurable positive Cones and Stable maps
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Towards full abstraction for the measurable positive cones model

- This model is a conservative extension of **Pcoh** (Crubillé LICS18)
- The linear and non-linear structures of cones (Ehrhard 2020)
- **Wanted:** prove that morphisms are power series

Next Full Abstraction Challenges

An effective observational equivalence

Observational equivalence: is not effective

$$M_1 \simeq M_2 \quad \text{iff} \quad \forall C[\], \mathbf{Proba}^\infty(C[M_1], \underline{0}) = \mathbf{Proba}^\infty(C[M_2], \underline{0})$$

Observational distance:

$$d_{\text{obs}}(M, N) = \sup_C | \mathbf{Proba}^\infty(C[M], \underline{0}) - \mathbf{Proba}^\infty(C[N], \underline{0}) |$$

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Amplification Pb: $d_{\text{obs}}(\text{coin } 0, \text{coin } \epsilon) = 1$ due to $C = \lambda x. \text{if}(x, C x, 0)$

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$$d_{\text{obs}}^{\mathbf{P}}(M, N) = \sup_C | \mathbf{Proba}^\infty(C^{\mathbf{P}}[M_1], \underline{0}) - \mathbf{Proba}^\infty(C^{\mathbf{P}}[M_2], \underline{0}) |$$

Semantical distance: $d_{\text{Pcoh}}(x, y) = \| x - x \wedge y \| + \| x - x \wedge y \|^{\perp}$

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Calm down contexts: $C^{\mathbf{P}} = \lambda z. C[\text{if}(\text{coin } p, z, \omega)]$

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Semantical distance: $d_{\text{Pcoh}}(x, y) = \| x - x \wedge y \| + \| x - x \wedge y \|^2$

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Calm down contexts: $C^P = \lambda z. C[\text{if}(\text{coin } p, z, \omega)]$

Theorem: correspondence between syntax and semantics

$$d_{\text{obs}}^P(M, N) = 0 \Rightarrow \llbracket M \rrbracket = \llbracket N \rrbracket$$
$$d_{\text{obs}}^P(M, N) \leq \frac{P}{1 - P} d_{\text{Pcoh}}(\llbracket M \rrbracket, \llbracket N \rrbracket)$$

Conclusion

Higher-order computability and the related Full Abstraction are fascinating questions developed by Gandy with inspiring insights.

They generated theoretical development that had practical applications in the programming language community.

I believe that it is not the end.